MODIFIED PTO/SB/33 (09-08) Approved for use through 10/31/2008. OMB 0651-0031

PRE-APPEAL BRIEF REQUEST FOR REVIEW		Docket Number
		Q94324
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Mail Stop AF, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)]	Application Number	Filed
	10/574,840	January 19, 2007
	Confirmation Number: 5035	
	First Named Inventor	
on	Hideki KITANO Art Unit	Examiner
Signature		
Typed or printed name	1722	Anna L. Verderame
Applicant requests review of the final rejection in the above-identified application. No amendments are being filed with this request.		
This request is being filed with a Notice of Appeal.		
The review is requested for the reason(s) stated on the attached sheet(s). Note: No more than five (5) pages may be provided.		
☐ The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account.		
CORRESPONDENCE ADDRESS		
Direct all correspondence to the address for SUGHRUE MION, PLLC filed under the Customer Number listed below:		
washington office 23373 CUSTOMER NUMBER		
COSTONESCO		
I am the		
	Mart	
☐ applicant/inventor.	8	Signature
assignee of record of the entire interest. See 37 CFR ☐ Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)	R 3.71. Thom	as M. Hunter
	Typed o	or printed name
attorney or agent of record. Registration number 64,676	(202) 293-7060	
	Telephone number	
attorney or agent acting under 37 CFR 1.34. Registration number if acting under 37 CFR 1.34	Octo	ber 28, 2011
NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.		

*Total of <u>1</u> form is submitted.

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of Docket No: Q94324

Hideki KITANO, et al.

Appln. No.: 10/574,840 Group Art Unit: 1722

Confirmation No.: 5035 Examiner: Anna L. Verderame

Filed: January 19, 2007

For: PHOTO-CURABLE TRANSFER SHEET, PROCESS FOR THE PREPARATION OF OPTICAL INFORMATION RECORDING MEDIUM USING THE SHEET, AND

OFFICAL INFORMATION RECORDING MEDIUM USING THE SHE

OPTICAL INFORMATION RECORDING MEDIUM

PRE-APPEAL BRIEF REQUEST FOR REVIEW

MAIL STOP AF - PATENTS

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to the Pre-Appeal Brief Conference Pilot Program, and further to the Examiner's Final Office Action dated April 28, 2011, Applicant files this Pre-Appeal Brief Request for Review. This Request is also accompanied by the filing of a Notice of Appeal.

Appellants turn now to the rejections at issue:

Claims 1-10, 12 and 14-15 stand rejected under 35 U.S.C. § 103(a) as being unpatentable over WO 03/032305 to Kitano et al.; Claim 11 stands rejected under 35 U.S.C. § 103(a) as being unpatentable over Kitano in view of U.S. Patent No. 6,586,496 to Takamatsu et al.; and Claims 11 and 13 stand rejected under 35 U.S.C. § 103(a) as being unpatentable over Kitano in view of U.S. Patent Application Publication No. 2003/0129385 to Hojo et al.

Appellants respectfully traverse the rejections for the reasons set forth at pages 2-5 of the Response filed July 28, 2011, and for the following reasons.

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The Examiner mischaracterized Appellants' arguments in her response set forth in the Advisory Action dated August 9, 2011.

In the Advisory Action dated August 9, 2011, the Examiner asserts that "Applicants argue that despite the fact that Kitano discloses, within the reference, the addition of the same tackifiers as Applicants, in amounts sufficient to improve the processing properties of the adhesive that one of ordinary skill in the art would have to engage in blind trial and error to arrive at Applicants' invention."

However, Appellants did not argue "that despite the fact that Kitano discloses, within the reference, the addition of the same tackifiers as Applicants, in amounts sufficient to improve the processing properties of the adhesive that one of ordinary skill in the art would have to engage in blind trial and error to arrive at Applicants' invention," as the Examiner asserts. Rather, Appellants argued that the present invention is directed to a specific tackifier, which yields inventive results. See, pages 2-5 of the Response filed July 28, 2011.

In this regard, Appellants argued in favor of patentability for the reason that it would not have been obvious to one skilled in the art to select the presently claimed tackifier from the laundry list of tackifiers and resins disclosed in Kitano. See, page 5 of the Response filed July 28, 2011.

More particularly, according to Kitano, the purpose of using the additives is for improving processing properties such as laminating properties, whereas the object of the present invention is to provide a transfer sheet that does not bleed the components of the transfer layer on its side and does not generate variation in the thickness of the transfer sheet (transfer layer).

Kitano is completely silent with respect to issues relating to bleeding of the components of the transfer layer on its side and variation of the thickness. Thus, it would have been difficult

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for one skilled in the art to select the specific tackifier of the present invention from the

numerous resins disclosed in Kitano. That is, one skilled in the art would need to undertake

undue experimentation, i.e., blind trial and error. Moreover, it is not apparent whether the use of

the Composition 5 containing no tackifier per se is appropriate or not, and whether the use of the

additives per se is appropriate or not, because Kitano is completely silent with respect issues

relating to bleeding of the components of the transfer layer on its side and variation of the

thickness.

Furthermore, with regard to the processing properties as disclosed in Kitano, processing

properties refer to properties present during the process for forming a sheet (e.g., laminating

properties). In contrast, bleeding of the components of the transfer layer on its side and

variations in thickness are in reference to storage stability.

For the foregoing reasons, it is respectfully submitted that the cited references fail to

render obvious the present claims.

Accordingly, Appellants respectfully request the reconsideration and withdrawal of the

Respectfully submitted,

Registration No. 64,676

Thomas M. Hunter

foregoing rejection.

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Date: October 28, 2011

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